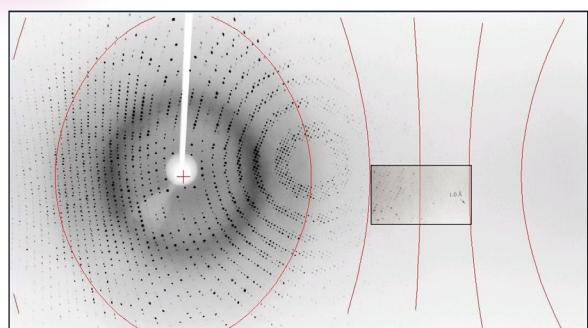


## Integration of 2D diffraction images

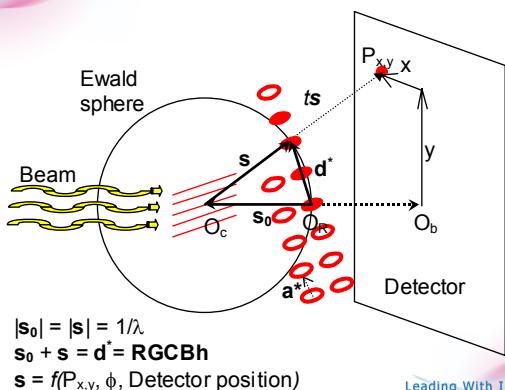
James W. Pflugrath<sup>1</sup>  
*Rigaku/MSC, Inc., The Woodlands,  
 Texas, USA*

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## A diffraction image



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## Diffraction math

$$s_0 + s = d^* = RGCBh$$

- h** Miller index ( $h,k,l$ )
- B** Crystal orth. matrix ( $a,b,c,\alpha,\beta,\gamma$ )
- C** Crystal orientation matrix
- G** Crystal goniometer matrix
- R** Rotation axis matrix
- d\*** Reciprocal lattice vector
- s<sub>0</sub>** Direct beam wavevector
- s** Scattered beam wavevector

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## What you do

- Pick up crystal in loop, plunge into LN<sub>2</sub>
- Put crystal on magnet on goniometer head and optical align
- Take a diffraction image or two
- Look at image(s) and decide whether to proceed
- Collect images, index, integrate, scale

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## Detector - Calibration

Stanton, et al. (1992) *J. Appl. Cryst.* **25**, 549-558.

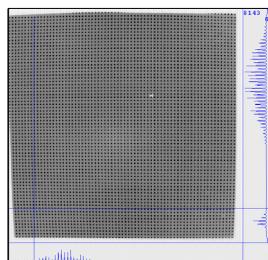
Dark current

Non-uniformity of response

Spatial distortion

Bad pixels

Zingers



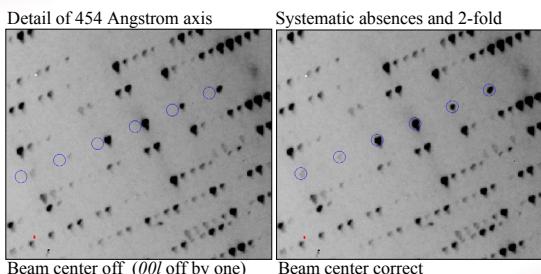
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## Integrate

- Predict reflection position
- Put box around reflection
- Assign pixels to Peak and Background
- Sum Peak, subtract Background
- Profile-fit
- Apply correction factors

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## dtdisplay overlay



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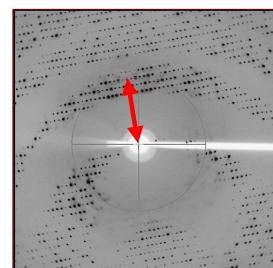
## Reflections (from images)

- Find
  - X,Y,  $\phi$
- Index
  - Unit cell
  - Orientation
- Refine
  - Crystal
  - Detector
  - Source
- Predict / Strategy
  - Rot start, end
  - Completeness
- Integrate
  - $hkl$ , Intensity,  $\sigma_i$
  - Profile fitting
- Scale
  - Rmerge
  - $|\chi^2|$

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## Direct beam position

- Direct beam shot
- Powder rings
- Ice rings
- Symmetry



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## Refine

- $\mathbf{s}_o + \mathbf{s}_i = \mathbf{RGCBh} = \mathbf{d}_i^*$
- $\text{Min } \chi^2 = \sum W_i (\mathbf{s}_{i,\text{obs}} - \mathbf{s}_{i,\text{calc}})^2$   
 $= \sum W_i [\mathbf{s}_{i,\text{obs}} - (\mathbf{RGCBh} - \mathbf{s}_o)]^2$
- Crystal (**B**, **C**):  $a, b, c, \alpha, \beta, \gamma, \text{Rot1}, \text{Rot2}, \text{Rot3}$
- Detector ( $\mathbf{s}_{i,\text{obs}} = f(\text{Det}, X, Y, \phi, \mathbf{R})$ )
  - Beam center, Distance, Rotations ( $2\theta$ )
- Source ( $\mathbf{s}_o$ ): Direction, wavelength

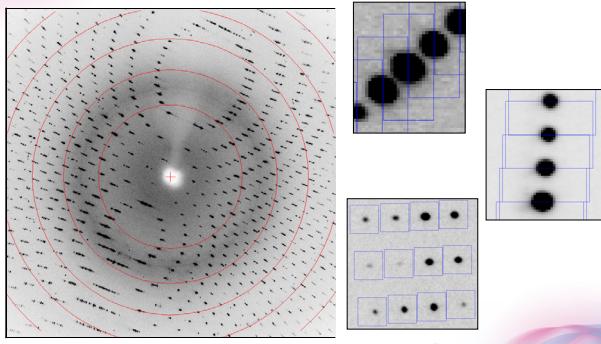
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## Integrate

- Predict reflection position
- Put box around reflection
- Assign pixels to Peak and Background
- Sum Peak, subtract Background
- Profile-fit
- Apply correction factors

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## Integrate - Put box around



## Integrate - Predict

$$\mathbf{d}^* = \mathbf{x}_r = \text{RGCBh} \quad \mathbf{x}_r - \mathbf{s}_0 = \mathbf{s} \rightarrow P(x,y)$$

Rocking curve

$$R_r = 2L[\Delta d^* \cos\theta + (\delta\lambda/\lambda)d^* \sin\theta]$$

Lorentz factor

$$L = |1.0 / (\mathbf{x}_r \cdot (\mathbf{r}_1 \times \mathbf{s}_0))|$$

Polarization factor

$$p = 1 - [P_n * (\mathbf{s} \cdot \mathbf{s}_n)^2 + (1 - P_n) * (\mathbf{s} \cdot \mathbf{n}_p)^2]$$

Oblique incidence correction factors

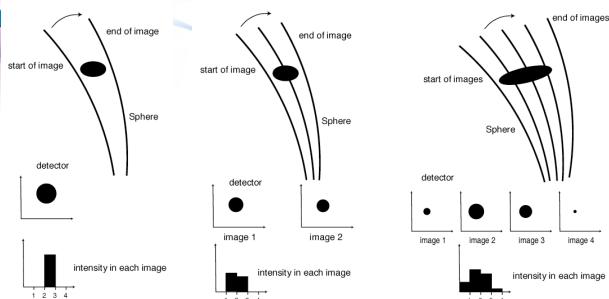
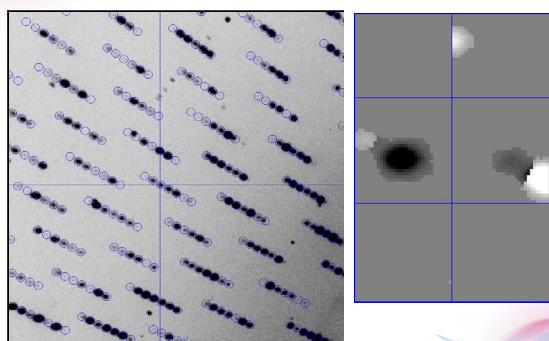
$$O_1 = (1 - \exp(f_{obi})) / (1 - \exp(f_{obi}/\cos\alpha))$$

$$O_2 = \exp(f_{obi}) / \exp(f_{obi}/\cos\alpha)$$

Kabsch (1988) *J. Appl. Cryst.* **21**, 916-924.Zaleski, Wu & Coppens (1998) *J. Appl. Cryst.* **31**, 302-304.

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## Integrate - Put box around



A fully-recorded spot is entirely recorded on one image

Partials are recorded on two or more images

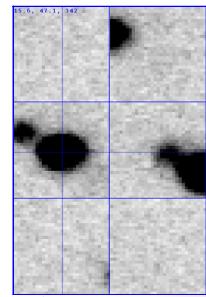
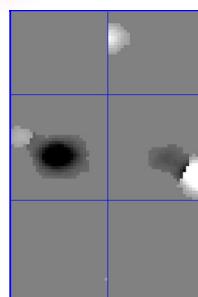
"Fine-sliced" data has spots sampled in 3-dimensions

Perhaps best processed with a 3D program (eg d\*TREK, XDS)

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Elspeth Garman, Oxford

## Integrate - Background



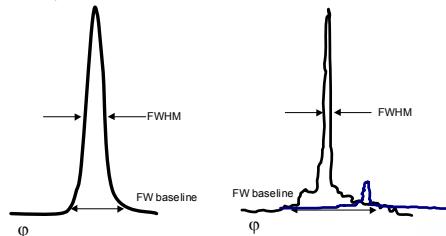
Background: Least-squares fit to a plane

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## Refine (crystal mosaicity)

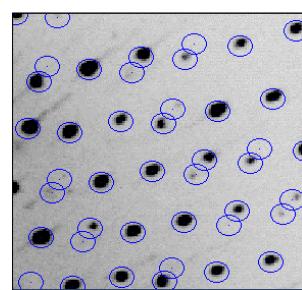
Rocking curve

$$R_i = 2L[\Delta d * \cos\theta + (\delta\lambda/\lambda)d * \sin\theta]$$



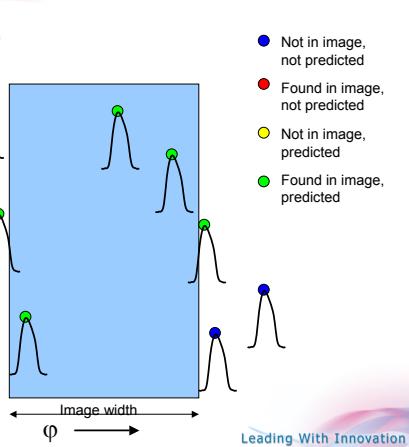
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## Refine (crystal mosaicity)



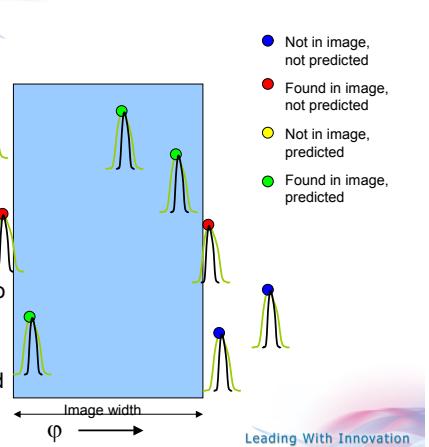
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Mosaicity just right:  
Found spots predicted



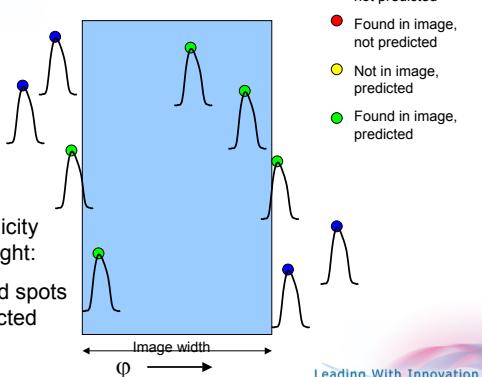
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Mosaicity too narrow:  
Some spots not predicted



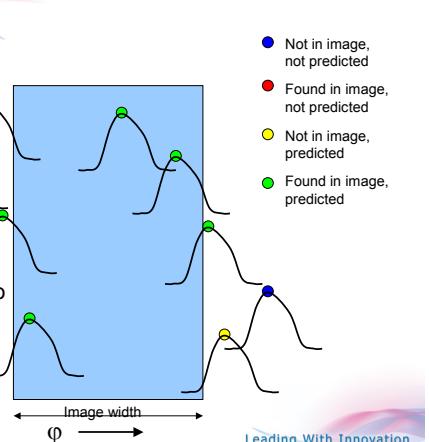
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Mosaicity just right:  
Found spots predicted

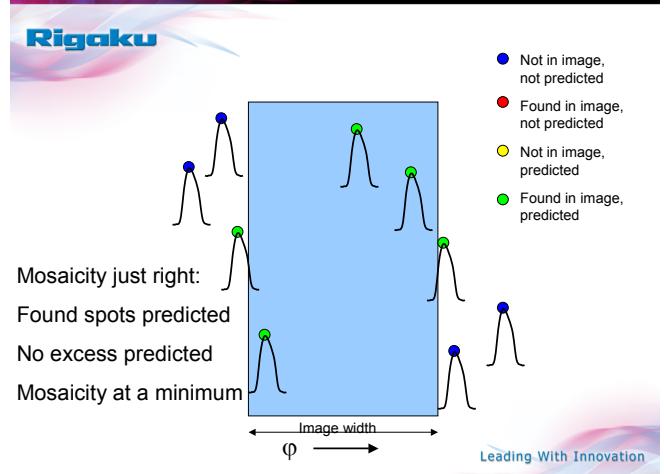
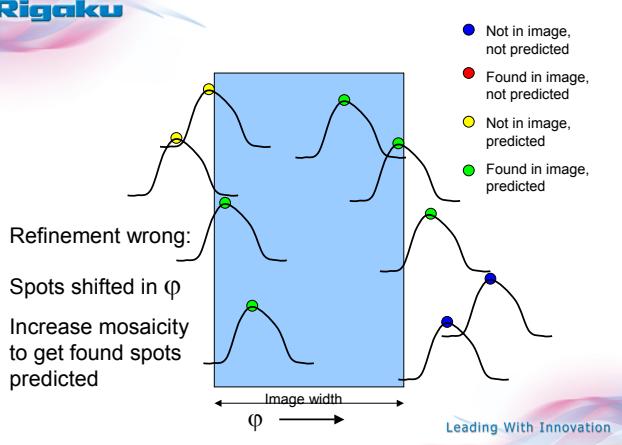
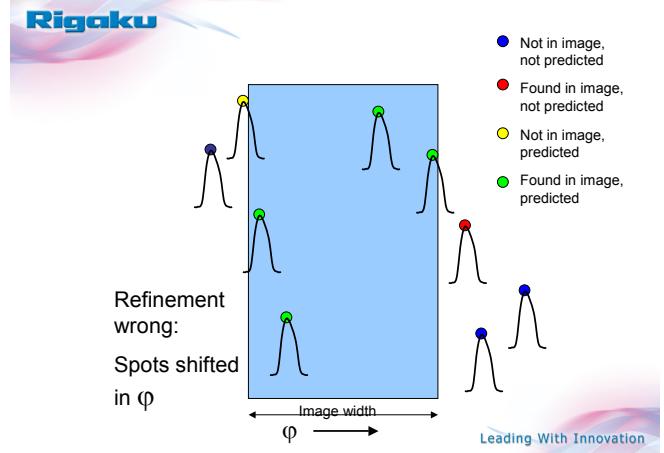
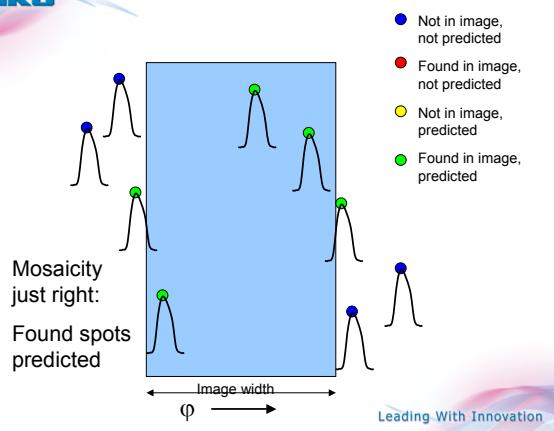


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Mosaicity too large:  
Too many spots predicted

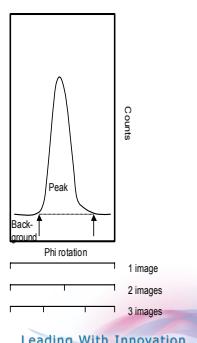


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## Images - Expectations

- Poisson counting  
Quantity  $Q$ ,  $\text{var}(Q) = Q$ ,  $\sigma(Q) = Q^{1/2}$
- Simple integration  
Intensity =  $\Sigma(\text{Peak} - \text{Background})$   
 $\text{var}(\text{Int}) = \text{var}(\text{Peak}) + \text{var}(\text{Background})$



## Integrate - Profile fitting

Two major assumptions

- Reflections have the same profile:
  - Same shape
  - Same distribution
- Reflections are predicted accurately

Diamond (1969) *Acta Cryst A25*, 43-55.  
Ford (1974) *J Appl Cryst* 7, 555-564.  
Rossmann (1979) *J Appl Cryst* 12, 225-238.  
Kabsch (1988) *J Appl Cryst* 21, 916-924.

**Integrate - Profile fitting**

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**Integrate - Profile fitting**

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**Integrate - Profile fitting**

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**Integrate - Profile fitting**

Reference profile = Superposition of pixel values



Poor predictions  
= Poor superposition



Good predictions  
= Good superposition

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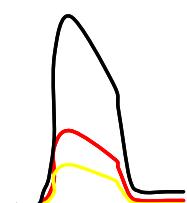
**Integrate - Profile fitting**

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**Integrate - Profile fitting**

Two major assumptions

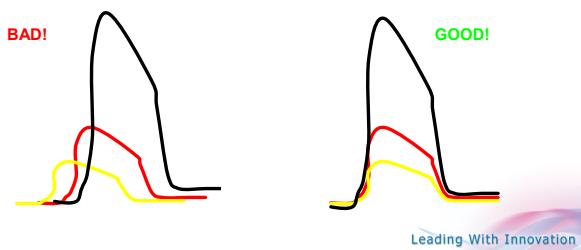
1. Reflections have the same profile:
  - Same shape
  - Same distribution
2. Reflections are predicted accurately



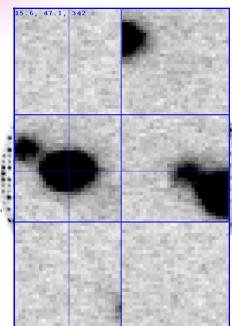
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## Integrate - Profile fitting II

Bad predictions = Bad reference profile



## Integrate - Profile fitting III



$$f = \sum_i \frac{(p_i - Ic)^2}{V_i}$$

$$I_{prof} = \frac{\sum_i \frac{p_i c_i}{V_i}}{\sum_i \frac{p_i^2}{V_i}}$$

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## Variations

Integrate in 2D, later post-refine and sum partials  
MOSFLM, denzo, HKL2000

Integrate in 3D, refine as you go along  
XDS, MADNES, d\*TREK

Box & spot size – user input or automatic; fixed or plastic

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## More details

How much are Bragg peaks rasterized?  
What about powder rings such as from ice?  
Wide slice 5 degree images?  
Or fine slice 0.3 degree images?  
Or 0.5 degree images?  
What about systematic and erratic errors?  
Bad pixels, shadows, moving shadows – mask them out  
Zingers  
 $K\alpha_1/K\alpha_2$  at high  $2\theta$  - shift vectors are calculated and applied  
Scale and get statistics as you go along  
Update refinement continually  
Detector gain  
Spot overlap

Which bottle has natural water and which has sparkling?

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## Scaling

- Correction of systematic errors
- Outlier rejection
- Validation of sigmas

$$\sigma_{adj}^2 = (\sigma_{in} E_{mul})^2 + (I_{in} E_{add})^2$$

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## Scaling

### Correction of systematic errors

- different crystal volumes
- different exposure times
- different detectors
- radiation damage
- wavelength dependent factors
- different or fluctuating source intensities
- different absorption due to different paths through the crystal and other matter

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